

GDPR Consent Form

*This GDPR Consent Form is to be submitted as required by the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (hereinafter the **GDPR**) in connection with the submission of an EoI for the Call for Expressions of Interest, reference code Deep Tech Fund 2020/12, dated 21 December 2020. Capitalised expressions used herein shall have the meaning attributed to them in the above-mentioned Call for Expression of Interest, unless otherwise expressly defined herein or otherwise required by the context.*

This form is to be signed in separate instruments by all team members and Key Executives providing personal data together with the EoI.

AS SmartCap (registry code 12071991) shall be the controller of your personal data. You may contact SmartCap at Hobujaama 4, 10151 Tallinn, Estonia or by email at info@smartcap.ee.

SmartCap may collect personal data directly from you as well as from external sources, such as public and private registers or third parties. Personal data categories which SmartCap may collect and process in regard to you are:

- › Identification data (name, identification code, date of birth, data regarding identification document, etc.);
- › Contact data (address, telephone number, email address, language of communication);
- › Data regarding your affiliations with legal entities and other undertakings (shareholdings, economic interests, board seats, directorships or other positions, etc.);
- › Professional data (education, professional career, etc.);
- › Financial data (accounts, ownerships, transactions, income, data on origin of assets or wealth, data regarding transaction partners and business activities, etc.);
- › Data about trustworthiness and due diligence (prior experience, recommendations, data that enables to perform KYC/AML due diligence measures and to ensure the compliance with international sanctions, etc.);
- › Residency data (country of residence, tax residency, tax identification number, citizenship, etc.);
- › Communication data (visual and/or audio recordings collected at team meetings or interviews, other correspondence, emails, messages, other communication mechanisms such as social media, etc.).

Your personal data shall be processed by SmartCap under this consent or, if applicable, in order to ensure compliance with legal obligations to which SmartCap is subject to. The processing of your personal data shall not be automated. Your personal data shall be used for the following purposes:

- › Carrying out the Selection Process as described in the Call Document;
- › Communicating with you and/or the team of the Applicant during the Call;
- › Verification of your identity and for complying with other legal obligations of SmartCap;
- › Performing background checks, due diligence and other activities necessary in order to assess the EoI and the team of the Applicant.

SmartCap will not share your personal data with any third parties other than external experts or other professionals performing certain services to SmartCap in regards to the Call and who are contractually obligated to keep your personal data confidential subject to appropriate safeguards to prevent it from unauthorized disclosure. Some of these external experts or other professionals may operate outside of the European Union, in which case SmartCap shall make sure that your personal data is only transferred

to external experts or other professionals if an adequate level of protection is ensured, e.g., if the European Commission has issued an adequacy decision under GDPR Article 45 or other appropriate safeguards apply.

Your personal data will be processed no longer than necessary. The retention period may be based on the legitimate interest of SmartCap or applicable laws (such as laws related to bookkeeping, anti-money laundering, statute of limitations, civil law, etc.). If no other retention period is specified in any applicable law, your personal data shall not be retained for longer than 5 years from the end of the Selection Process.

Under the GDPR, you have the right to request access to, rectify, erase and restrict the processing of your personal data. You also have the right to revoke this consent to use your personal data. If you wish to exercise any of these rights, please contact SmartCap by email at info@smartcap.ee. Please note, however, that a request to erase or restrict the processing of your personal data or revoking this consent may also limit the ability of SmartCap to assess the EoI of the Applicant affiliated with you and may, thereby, affect the outcome of the Applicant's participation in the Call, including lead to the elimination of the Applicant from the Call, if certain criteria can no longer be considered fulfilled or sufficiently evaluable (e.g., Key Executive requirements).

If you find that SmartCap has violated the GDPR, you have the right to file a complaint with the Estonian Data Protection Inspectorate (www.aki.ee).

I, the undersigned, hereby consent to SmartCap using my personal data for the purposes described in this consent form and understand that I can withdraw my consent at any time.

Signature:

Name of the undersigned

Date of signing